

1074 U.S. PTO
07/14/03

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10/6/17760
07/14/03

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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| <p>UTILITY PATENT APPLICATION TRANSMITTAL</p> <p>(Only for new nonprovisional applications under 37 CFR 1.53(b))</p> | | Attorney Docket No. | 111325-273 | | | | | | | | | | | | | | | |
| | | First Inventor | Mark J. STEFIK et al | | | | | | | | | | | | | | | |
| | | Title | A USAGE RIGHTS GRAMMAR AND DIGITAL WORKS HAVING USAGE RIGHTS CREATED WITH THE GRAMMAR | | | | | | | | | | | | | | | |
| | | Express Mail Label No. | | | | | | | | | | | | | | | | |
| <p>APPLICATION ELEMENTS</p> <p>See MPEP chapter 600 concerning utility patent application contents.</p> | | <p>Mail Stop Patent Application Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450</p> | | | | | | | | | | | | | | | | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 88] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications (if applicable) - Statement Regarding Fed sponsored R & D (if applicable) - Reference to sequence listing, a table, or a computer program listing appendix (if applicable) - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Figs. 1-19 [Total Sheets 13]</p> <p>5. Oath or Declaration [Total Pages 03] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Unsigned c. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </p> | | | | | | | | | | | | | | | | |
| <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p> | | | | | | | | | | | | | | | | | | |
| <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input checked="" type="checkbox"/> Continuation of prior application No.: 10/015,952 filed December 17, 2001, which is a Continuation of Serial No. 09/778,001 filed February 7, 2001, which is a Divisional of Serial No. 08/967,084 filed November 10, 1997 now U.S. Patent No. 6,236,971 which is a Continuation of Serial No. 08/344,760 filed November 23, 1994 now Abandoned, the disclosure of which are being incorporated by reference.</p> | | | | | | | | | | | | | | | | | | |
| Prior application information: | | Examiner <u>Kambiz Abdi</u> | Group / Art Unit: <u>3621</u> | | | | | | | | | | | | | | | |
| <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> | | | | | | | | | | | | | | | | | | |
| <p>19. CORRESPONDENCE ADDRESS</p> <table border="1"> <tr> <td><input checked="" type="checkbox"/> Customer Number or Bar Code Label</td> <td>22204</td> <td><input type="checkbox"/> Correspondence address below</td> </tr> <tr> <td>Name</td> <td colspan="2"></td> </tr> <tr> <td>Address</td> <td colspan="2"></td> </tr> <tr> <td>City</td> <td>State</td> <td>Zip Code</td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td>Fax</td> </tr> </table> | | | | <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 22204 | <input type="checkbox"/> Correspondence address below | Name | | | Address | | | City | State | Zip Code | Country | Telephone | Fax |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 22204 | <input type="checkbox"/> Correspondence address below | | | | | | | | | | | | | | | | |
| Name | | | | | | | | | | | | | | | | | | |
| Address | | | | | | | | | | | | | | | | | | |
| City | State | Zip Code | | | | | | | | | | | | | | | | |
| Country | Telephone | Fax | | | | | | | | | | | | | | | | |
| Name (Print/Type) | <u>Marc S. Kaufman</u> | Registration No. (Attorney/Agent) | 35,212 | | | | | | | | | | | | | | | |
| Signature |  | | Date | | | | | | | | | | | | | | | |
| | | | July 14, 2003 | | | | | | | | | | | | | | | |



FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **\$984.00**

Complete if Known

| | |
|----------------------|----------------------------------------------------|
| Application Number | Continuation Application based on USSN: 10/015,952 |
| Filing Date | July 14, 2003 |
| First Named Inventor | Mark J. Stefik et al. |
| Examiner Name | Kambiz Abdi |
| Art Unit | 3621 |
| Attorney Docket No. | 111325-273 |

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account:

Deposit Account Number

19-2380 (111325-273)

Deposit Account Name

Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|---------------|--------------------------------|-----------------|
| Fee Code (\$) | Fee Code (\$) | | |
| 1001 | 750 | 2001 375 Utility filing fee | \$750.00 |
| 1002 | 330 | 2002 165 Design filing fee | |
| 1003 | 520 | 2003 260 Plant filing fee | |
| 1004 | 750 | 2004 375 Reissue filing fee | |
| 1005 | 160 | 2005 80 Provisional filing fee | |
| SUBTOTAL (1) | | | \$750.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------------|----------|
| Total Claims | 33 | -20** = 13 X \$18 | \$234.00 |
| Independent Claims | 2 | -3** = _____ X _____ | 0 |
| Multiple Dependent | | X _____ | 0 |

| Large Entity | Small Entity | Fee Description |
|---------------------|---------------|-------------------------------------------------------------------|
| Fee Code (\$) | Fee Code (\$) | |
| 1202 | 18 | 2202 9 Claims in excess of 20 |
| 1201 | 84 | 2201 42 Independent claims in excess of 3 |
| 1203 | 280 | 2203 140 Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 42 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | \$234.00 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------------------|---------------|------------------------------------------------------------------------------------|
| 1051 | 130 | 2051 65 Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 25 Surcharge - late provisional filing fee or cover sheet |
| 1053 | 130 | 1053 130 Non-English specification |
| 1812 | 2,520 | 1812 2,520 For filing a request for <i>ex parte</i> reexamination |
| 1804 | 920* | 1804 920* Requesting publication of SIR prior to Examiner action |
| 1805 | 1,840* | 1805 1,840* Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 55 Extension for reply within first month |
| 1252 | 410 | 2252 205 Extension for reply within second month |
| 1253 | 930 | 2253 465 Extension for reply within third month |
| 1254 | 1,450 | 2254 725 Extension for reply within fourth month |
| 1255 | 1,970 | 2255 985 Extension for reply within fifth month |
| 1401 | 320 | 2401 160 Notice of Appeal |
| 1402 | 320 | 2402 160 Filing a brief in support of an appeal |
| 1403 | 280 | 2403 140 Request for oral hearing |
| 1451 | 1,510 | 1451 1,510 Petition to institute a public use proceeding |
| 1452 | 110 | 2452 55 Petition to revive - unavoidable |
| 1453 | 1,300 | 2453 650 Petition to revive - unintentional |
| 1501 | 1,300 | 2501 650 Utility issue fee (or reissue) |
| 1502 | 470 | 2502 235 Design issue fee |
| 1503 | 630 | 2503 315 Plant issue fee |
| 1460 | 130 | 1460 130 Petitions to the Commissioner |
| 1807 | 50 | 1807 50 Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 180 Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 40 Recording each patent assignment per property (times number of properties) |
| 1809 | 750 | 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) |
| 1810 | 750 | 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) |
| 1801 | 750 | 2801 375 Request for Continued Examination (RCE) |
| 1802 | 900 | 1802 900 Request for expedited examination of a design application |
| Other fee (specify) _____ | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____.

Date

Signature

Typed or printed name

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-----------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Marc S. Kaufman | Registration No. (Attorney/Agent) | 35,212 | Telephone | (703) 770-9300 |
| Signature | | | | Date | July 14, 2003 |

SEND TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450